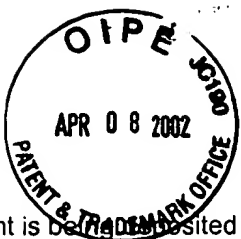


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Date: March 27, 2002

Neeraj A. Mehta  
Signature of Person Mailing Correspondence

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**PATENT**

Applicant(s):	Odegaard, et al.	Docket No.:	40655.2600
Serial No.:	09/974,613	Group Art Unit:	2161
Filed:	October 10, 2001	Examiner:	TBA
Title:	SYSTEM AND METHOD FOR A FINANCIAL PLANNING COMPETITION	Confirmation No.:	8447

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§1.97-1.98**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Assistant Commissioner:

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In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the supplemental documents listed on the attached Form PTO/SB/08A. One copy of each cited document is submitted herewith. Applicant respectfully submits that all pending claims are patentable over the foregoing references, alone or in combination. The Examiner is requested to initial the enclosed Form PTO/SB/08A and return a copy thereof to the undersigned.

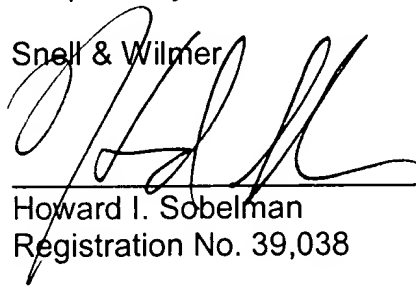
The submission of the listed documents is not intended as an admission that any such documents constitute prior art against the claims of the present application. Applicant reserves the right to dispute the listed documents as prior art during examination. Further, Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove the listed documents as a competent

reference against the claims of the present application. Furthermore, the submission of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

As this Supplemental Information Disclosure Statement is being filed in compliance with 37 C.F.R. §1.97(e)(1): I hereby certify that each item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement, and also pursuant to 37 C.F.R. §1.97(b)(3), prior to the issuance of the first Office Action on the merits. No filing fee is required, however, the Assistant Commissioner is hereby authorized to charge Deposit Account No. 19-2814 for any deficiency in the fees should they be required for the filing of this Supplemental Information Disclosure Statement, **for which purpose a duplicate copy of this sheet is enclosed.**

Respectfully submitted,

Snell & Wilmer

  
Howard I. Sobelman  
Registration No. 39,038

Dated: March 27, 2002

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1
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**Complete if Known**

Application Number	09/974,613
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<b>Filing Date</b>	October 10, 2001
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First Named Inventor	Laura J. Odeggaard
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Art Unit	2161
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Examiner Name	TBA
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Attorney Docket Number	40655.2600
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## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner  
Signature

Date	
Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

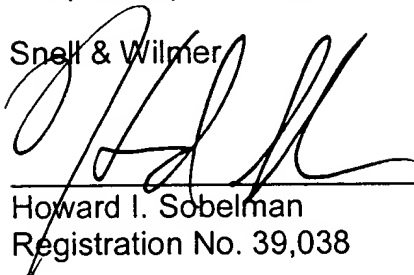
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reference against the claims of the present application. Furthermore, the submission of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

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